DAC #
(5)

#5

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or Printed Name Wilhelm Albert Palmen Jr.

Signature

Date

September 8, 2000

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket

STAN-128

First Named Inventor

Haab et al.

Application Number

09/550,303

Filing Date

April 14, 2000

Group Art Unit

1643

Examiner Name

Unassigned

Title: "MICROARRAYS OF POLYPEPTIDES"

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form☐ Issue Fee Transmittal
(with copy of PTOL-85B)☐ Request for Refund☐ Response Under 37 C.F.R.
§1.115☐ Formal Drawing(s)☐ After Allowance
Communication to Group☐ Three (3) Month Extension of
Time Request☐ Licensing-related Papers☐ Appeal Communication to Board
of Appeals and Interferences☐ Express Abandonment Request☐ Petition Routing Slip and
Accompanying Petition☐ Appeal Communication to
Group☐ Information Disclosure
Statement, 1449 and
references☐ To Convert a Provisional
Application☐ Appeal Communication to
Group
(Appeal Notice, Brief, Reply
Brief)☐ Certified Copy of Priority
Document(s)☒ Notification of Change of Small
Entity Status Under 37 C.F.R.
§1.28 (b) & (c)☐ Proprietary Information☐ Response to Missing Parts/
Incomplete Application☐ Change of Correspondence
Address☐ Status Letter☐ Response to Missing Parts under
37 CFR 1.52 or 1.53 (with copy
of Notice to File Missing Parts)☐ Terminal Disclaimer☒ Additional Enclosure(s)
(please identify below):
♦ Return Postcard☐ Small Entity Statement

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name

Pamela J. Sherwood, Ph.D.

Registration No.

36,677

Firm Name

BOZICEVIC, FIELD & FRANCIS LLP

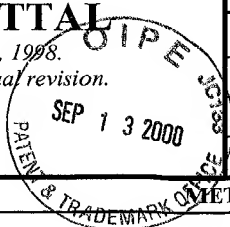
Signature

Date

September 8, 2000

FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.



Attorney Docket Number	TAN-128
First Named Inventor	Haab et al.
Application Number	09/550,303
Filing Date	April 14, 2000
Group Art Unit	1643
Examiner Name	Unassigned

METHOD OF PAYMENT

1. ☐ A Check Is Enclosed
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$0.00

2. CLAIMS

No. of claims as filed or after amendment	Claims previously paid	Extra claims	Fee from below	Fee Due
Total claims 30	30	0	\$18.00	\$0.00
Ind. claims 3	3	0	\$78.00	\$0.00
Multiple Dependent claims				

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
Subtotal (2)\$0.00				

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	870	217	435	Ext. for reply within third month	
128	1,850	228	925	Ext. for reply within fifth month	
120	300	220	150	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,210	242	605	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	430	243	215	Design issue fee	
581	40	581	40	Recording patent assignment	
				Other fee (specify)	
				Surcharge - late provisional filing fee or cover sheet	
				Filing a request for reexamination	
				Ext. for reply within second month	
				Ext. for reply within fourth month	
				Notice of Appeal	
				Request for oral hearing	
				Petition to revive - unintentional	
				Petitions to the Commissioner	
				Submission of IDS	
				For each additional invention to be examined (37 CFR 1.129(b))	
				Requesting publication of SIR after Examiner action	
				Plant issue fee	
				Change of Entity Status-Difference of Large and Small Entity Fees	500

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)\$500.00

TOTAL AMOUNT TO BE CHARGED (\$500.00)

SUBMITTED BY

Typed or Printed Name	Pamela J. Sherwood, BOZICEVIC, FIELD & FRANCIS LLP	Reg. Number	36,677
Signature		Date	September 8, 2000
		Deposit Account	50-0815

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name Wilhelm Albert Palmen Jr.

Signature

Date

September 8, 2000

**NOTIFICATION OF CHANGE
OF SMALL ENTITY STATUS**

(37 C.F.R. §1.28(b) & (c))

SEP 13 2000

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney Docket

STAN-128

First Named Inventor

Haab et al.

Application Number

09/550,303

Filing Date

April 14, 2000

Group Art Unit

1643

Examiner Name

Unassigned

Title: "MICROARRAYS OF POLYPEPTIDES"

Sir:

In accordance with 37 C.F.R. §1.28(b) & (c), notice is hereby given that the above referenced patent application was erroneously filed claiming small entity. The small entity status was claimed in good faith. It was later discovered that such status was established in error. The claim for small entity status, set forth in the verified statement filed with the Response to Missing Parts on August 3, 2000, is hereby withdrawn.

A detailed breakdown of the total amount paid, and an indication of the specific deficiency, based on current fees, is shown in the listing provided below:

		Column A	Column B	
Filing Date of Original Paper/Fee	Type of Fee Paid	Current Fee Amount as a Large Entity	Amount Originally Paid	Deficiency (based on current fee amount- Col. A minus Col. B)
08-03-00	Response to Missing Parts	\$1,000.00	\$500.00	\$500.00

In accordance with 37 C.F.R. § 1.28(c), please charge the amount of \$500.00 for the deficiency fee and any additional fees due in this matter our deposit account no. 50-0815.

Adjustment date: 09/18/2000 EHAMMOND
08/11/2000 SDENB001 00000000 500815 09550303
01-FC:201 345.00 CR
02-FC:203 90.00 CR
03-FC:205 65.00 CR

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

RECEIVED

SEP 18 2000

OFFICE OF PETITIONS

Date: September 8, 2000

By:

Pamela J. Sherwood
Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231
F:\DOCUMENT\STAN (Stanford)\128\Change of Entity Status.wpd

09/18/2000 EHAMMOND 00000017 500815 09550303

01 FC:101 690.00 CH
02 FC:103 180.00 CH
03 FC:105 130.00 CH